

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2011-09-15
Date of Last Change to Activities: 2012-07-20
Investment Auto Submission Date: 2012-02-28
Date of Last Investment Detail Update: 2012-04-20
Date of Last Exhibit 300A Update: 2012-07-20
Date of Last Revision: 2012-07-20

Agency: 011 - Department of Justice **Bureau:** 10 - Federal Bureau of Investigation

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: FBI National Crime Information Center (NCIC)

2. Unique Investment Identifier (UII): 011-000002502

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The National Crime Information Center (NCIC) is an automated database of criminal justice information as reported to the FBI by law enforcement agencies throughout the United States and internationally. The NCIC database consists of 19 data files. Seven property files contain records for articles, boats, guns, license plates, securities, vehicles and vehicle and boat parts. The 12 person files are the Foreign Fugitive, Gang, Identify Theft, Immigration Violator, Known or Appropriately Suspected Terrorist (KST), Missing Person, National Sexual Offender Registry, Protection Order, Protective Interest, Supervised Release, Unidentified Person, and Wanted Person Files. In addition, the NCIC includes an Image File and a file of Originating Agency Identifiers. The NCIC network is also a conduit for the Interstate Identification File, which is an index of approximately 50 million criminal history record subjects, and is available through other FBI systems such as National Instant Criminal Background Check System (NICS) and National Data Exchange (N-DEx).

The focus of the development, maintenance, and enhancement efforts is to (1) upgrade the hardware/software to ensure that the system continues to provide quality service and dependability; and (2) enhance existing services and develop new services as requested by the law enforcement community. The primary purpose of NCIC is to electronically exchange criminal justice information with law enforcement and criminal justice agencies for use in the investigation of local, state, tribal, federal, and international crimes and for the protection of

citizens from criminal activity. It is a valuable tool that aids law enforcement officers, investigators, judges, prosecutors, correction officers, court administrators, and other law enforcement and criminal justice agency officials in the execution of their day-to-day operations. The NCIC contains over 12 million active records and processes an average of 7.9 million transactions a day. In an era where information sharing is a critical mandate across the criminal justice community, the goal of the NCIC program is to continue providing more criminal justice data that is meaningful for our customers by developing and applying targeted solutions for CJIS partners to stop and disrupt violent crime patterns.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

The services of NCIC have been available for more than 40 years and are provided to combat criminal activity that threatens the safety and security of society. Information sharing is mission critical to today's public safety mandate as the United States is continually confronted with threats by organized and capable terrorist and criminal groups that have successfully attacked our commercial, diplomatic, and military interests around the world. The NCIC closes the sharing gap by serving more than 90,000 criminal justice and law enforcement agencies in the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, and Canada, as well as federal criminal justice agencies. NCIC transactions have increased an average of 10% per year from its current customers. This last year, the system saw an increase in transaction volume of more than 13% due to the Government-wide information sharing initiatives of the Department of Homeland Security. On July 29, 2011, NCIC processed a record-breaking 9.8 million transactions with an average response time of .0521 seconds. The CJIS Division is addressing the growing needs of NCIC by currently performing a technical refresh, although the system is considered to be in an operations and maintenance (O&M) phase. This refresh will be completed with two different approaches. The first approach was to upgrade the obsolete mainframes with newer mainframes in FY 2011. This provided the capacity needed for daily operations and future enhancements. The other approach is called the Legacy Migration, which if developed will reduce O&M lifecycle costs and be in compliance with the FBI's Enterprise Architecture. In the past 20 years, NCIC investigative services have resulted in 61,000 arrests and \$ 2.4 billion of recoveries (gov't benefits, drugs, vehicles, etc.). In 2011 alone the License Plate Reader program, which enhances the identification and recovery of stolen vehicles, controls access to specific locations, or cross-check for violations; has recovered 1,102 stolen vehicles with a value of more than \$ 6.5 million, found 818 Wanted Persons, found 19 Missing Persons, and apprehended 2,611 other persons. Not having NCIC would be devastating to the criminal justice and law enforcement communities. This tool is essential for information sharing to enable authorized users to effectively perform their jobs, protect themselves in the line of duty, and defend the communities where they live and work.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

On 10/3/2010, the Person with Information (PWI) enhancement was implemented. This is a supplemental record appended to an NCIC Missing Person File record entered into the Endangered or Involuntary categories. This enhancement created searchable fields within

the Missing Person File record for information pertaining to a person who may have information regarding an abduction for whom there is no warrant. On 7/18/ 2011, the NCIC Operations and Policy Unit (NOPU) awarded a one year contract to rewrite the NCIC Operating Manual. The purpose of this is to make the existing manual user friendly and include all policy and technical updates. On 4/4/2011 the Protective Interest File (PIF) was implemented. The PIF was designed to aid law enforcement agencies in their protective mission. Only law enforcement agencies with a protective mission as specified within federal, state, or municipal statute, regulation, or other appropriate legal authority may enter and update records in the PIF.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

Award Phase 1 of the Legacy Migration, which is to perform an assessment of the existing mainframes to see if can be upgraded to a Blade infrastructure. Award Phase 2 (development) of the Legacy Migration if Phase 1 is successful. Award the CJIS Information Broker (CIB) Study. The CIB Study will provide a full CONOPs and a SRS so that the CIB can be developed. The CIB will enhance NCIC by developing information brokers that will provide a data sharing capability from one or more data sources. Deploy a build in October 2011 that will include: Adding an Ethnicity Field to all NCIC person file records; and allowing the entry of lost public safety, homeland security, and critical infrastructure equipment in the NCIC Article File. Deploy a build in August 2012 that will include: Creating the NICS Denied Transaction File; allowing the entry of foreign sex offender records in the NSOR; and creating the OPT Field for Article and Vehicle File records to indicate whether records can be disseminated to the public.

5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.

1999-07-11

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$1.5	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$236.1	\$1.2	\$24.9	\$1.5
DME (Including Planning) Govt. FTEs:	\$89.1	\$0.3	\$3.3	\$0.4
Sub-Total DME (Including Govt. FTE):	\$326.7	\$1.5	\$28.2	\$1.9
O & M Costs:	\$79.8	\$17.4	\$14.9	\$20.5
O & M Govt. FTEs:	\$29.9	\$4.9	\$2.0	\$5.1
Sub-Total O & M Costs (Including Govt. FTE):	\$109.7	\$22.3	\$16.9	\$25.6
Total Cost (Including Govt. FTE):	\$436.4	\$23.8	\$45.1	\$27.5
Total Govt. FTE costs:	\$119.0	\$5.2	\$5.3	\$5.5
# of FTE rep by costs:	1,410	49	49	49
Total change from prior year final President's Budget (\$)		\$-8.8	\$18.6	
Total change from prior year final President's Budget (%)		-27.00%	70.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Additional funding is due to performing the CJIS Information Broker (CIB) and NCIC 2020 Study. Also, additional funding was needed to perform the Legacy Migration Assessment and if Assessment is successful, development will occur.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	1549	DJFM7D701300	W91QUZ04D0004	9700							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:
 Earned value is not required for DJFA0N005967 because it is a FFP contract. Nor is it needed for DJFM7D701300 due to being a Cost Plus Incentive Fee contract. This contract is for hardware and software maintenance licenses only. EVM is not required for DJFA6D605600 because it is an operations and maintenance contract using time and material. EVM is not required for DJFA1G0805646 because it is a services type of contract using time and material. The Legacy Migration will not use EVM for Phase 1 of the prohect; however, will use it for Phase 2 (if awarded).

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-07-20

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
A	NCIC Legacy Migration.	Tech Refresh upgrade from Mainframe to Blades.			
B	NCIC CIB Study.	CJIS Information Broker Study.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
A	NCIC Legacy Migration.							
B	NCIC CIB Study.							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
A	Technical Solution White Paper	Technical Solution White Paper Development	2011-12-30	2012-02-24	2012-02-29	86	-61	-70.93%
B	CONOPS Delivered	CONOPS	2012-05-15	2012-09-18		182	-126	-69.23%

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
		Development						
B	SRS Delivered	SRS Development	2012-09-30	2012-10-27		320	-27	-8.44%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Average Response Time for transactions needs to be .5 seconds or below.	Response Time in Seconds	Customer Results - Timeliness and Responsiveness	Under target	0.500000	0.500000	0.047500	0.500000	Monthly
System Availability needs to be 99.5% or above.	Percentage of Time System is Available to Customer	Technology - Reliability and Availability	Over target	99.500000	99.500000	99.780000	99.500000	Monthly
Support Business Continuity by having information systems and networks available.	Percentage of Time System is in Operation	Process and Activities - Productivity	Over target	100.000000	90.000000	100.000000	90.000000	Monthly
Support user transactions at a projected 8.9% yearly workload increase.	Number of Transactions Processed per year	Mission and Business Results - Services for Citizens	Over target	2365000000.000000	2600000000.000000	2918109035.000000	3177000000.000000	Semi-Annual
Number of off-line searches performed due to customer requests.	Number	Process and Activities - Productivity	Over target	25000.000000	26250.000000	29179.000000	30490.000000	Semi-Annual