

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2012-02-29
Date of Last Change to Activities: 2012-08-31
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2012-07-31
Date of Last Exhibit 300A Update: 2012-07-31
Date of Last Revision: 2012-08-31

Agency: 014 - Department of State **Bureau:** 00 - Agency-Wide Activity

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: Legacy Consular Systems

2. Unique Investment Identifier (UII): 014-000000034

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Legacy Consular Systems investment provides technical and end-user support for the full range of consular business processes along with automated systems to support consular management and the visa function in support of Homeland Security. This support is provided through operations and maintenance of existing consular automated systems and modification of existing consular automated systems to support Homeland Security initiatives. This investment is expended to enhance the efficiency and effectiveness of consular personnel involved in the processing and adjudication of visa applications and to provide visa data to other agencies involved in border security. LCS directly benefits the Department's mission of Strengthening Consular and Management Capabilities, by providing a robust and secure platform that issues visas. LCS's main and ultimate beneficiaries are the American people who benefit from qualified visa applicants traveling to the United States. A different set of LCS beneficiaries are the Visa Applicants, who show significant support to the LCS investment and its systems. The Travel Document Systems Support initiative provides on-going maintenance for the passport issuance systems. This includes the Travel Document Issuance System and related systems. The ACS Systems initiative provides on-going maintenance and support for consular automated systems that support the American citizen services functions domestically and overseas, including the American Citizen Services system, the Smart Traveler Enrollment Program (STEP) and the Adoptions Tracking Service.

The AMS initiative enables CST's internal customers to manage resources more efficiently through the deployment of digital tools to a global user community, including human resources, Logistics, financial workforce management, and mission support. AMS allows visibility into business-line activities to ensure resources are managed for peak performance, increasing utilization of resources in those key areas. The BI program provides support for Analytics and Reporting services to increase the efficiency and effectiveness of CA's business processes and mission, as well as the government wide effort to appropriately and effectively share information. The main initiative areas include fraud and risk analysis.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

The LCS investment consists of mission-critical consular automated systems that support the Visa issuance process both domestically and overseas. The goal of these systems is to enhance the efficiency and effectiveness of consular personnel involved in the processing and adjudication of visa applications in order to promote legitimate travel while protecting the American borders. Consular sections are the most visible and accessible sections in any overseas mission. LCS ensures that the Department has a set of robust systems that are available to Consular personnel in order for them to efficiently and effectively communicate with the public and issue visas to the right people. As the Department's Quadrennial Diplomacy and Development Review made clear, it is not enough to simply keep up with change. We must stay ahead of it and preempt it. We must secure investments for American business, open new markets for American goods, promote trade overseas, and create jobs here at home. Further, CA's work is critical to the U.S. economy. CA assists and encourages millions of qualified students, skilled professionals, and tourists to travel to the U.S. annually. If this investment is not fully funded, it would result in a drop in the efficiency and effectiveness of consular operations at many posts, and reduction in overall maintenance of consular automated systems, which would result in inability to provide needed enhancement based on business process changes, interagency agreements or legislative mandates in a timely manner. ACS provides a range of applications critical to the delivery of services ranging from overseas adoption support to parent-child abduction support. Consular officers use these tools to assist US citizens abroad during times of crisis or transition. These systems are critical given the dynamic and geographic challenges inherent in the range of services offered. TDIS provides ongoing maintenance and updates for passport issuance. This provides for the ongoing processing of passports and is critical to enabling legislative, security and crisis related systems updates that maintain the integrity of the passport issuance system.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

Prior year accomplishments for Legacy Consular Systems: IAFOD 4.1 PIERS 4.1 VRS 2.0 IPCA 2.00.00 TDIS 04.01.00 CEAC IV 01.00 eDP 01.00 CEAC Visa Status Check RAP (v1.03) BI for eQM (pilot) BI for eDV (pilot) CWSS Rel. 20.00.00 deployed CAPA Rel. 02.00.00 deployed FSVacancy Rel. 02.00.00 deployed GSD-RT Rel. 03.04.00 deployed CST 05.00.00 piloted. Additionally, the public facing website, travel.state.gov, was re-launched with improved content and navigation. A new search engine and content management system

were added to the supporting infrastructure that will give content owners faster response time for critical changes and improve customer satisfaction with this premier information portal.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

Significant activities planned include: 1) strengthening Business Intelligence (BI) capabilities; 2) strengthening Fraud Detection and Prevention; 3) strengthening the Bureau's Executive Office management information system capabilities; 4) maintaining informational web sites for public dissemination of consular information; 5) strengthening Bureau's capacity to maintain a proactive, organization wide systems security posture; 6) operations and maintenance support for critical consular support system; 8) expanding e-government services; 9) provide on-going maintenance for the passport issuance systems. 10) Improve speed, reliability, compliance and security of existing passport systems. Other items that are on the agenda to be accomplished are: IAFOD 4. IAFOD 4.3 MIS 5.0 FEP 7.0 CEAC Medical 01.00 eDP 01.01 CEAC IV 01.01 BI for CART CWSS Rel. 21.00.00 deployment CADSS - Plan for a minor release every six months (e.g. two per service for FY 2013) for each of the CADSS services: CABS, CA-LTA, FSVacancy, GSD-RT, and CAPA. ACRS Post/SAV (Automated Cash Register System at Post and Stand Alone Version [SAV is for agencies]).

5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.

2012-01-03

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	0	0	0	0
O & M Costs:	\$0.0	\$0.0	\$58.5	\$61.7
O & M Govt. FTEs:	\$0.0	\$0.0	\$1.9	\$1.9
Sub-Total O & M Costs (Including Govt. FTE):	0	0	\$60.4	\$63.6
Total Cost (Including Govt. FTE):	0	0	\$60.4	\$63.6
Total Govt. FTE costs:	0	0	\$1.9	\$1.9
# of FTE rep by costs:	0	0	16	16
Total change from prior year final President's Budget (\$)		\$0.0	\$60.4	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

n/a

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
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NONE

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The Office of Management and Budget (OMB) requires EVM for all capital acquisitions and other major acquisition programs involving development (i.e. project) effort. However, EVM Systems is required on compliance with guidelines in ANSI/EIA-748 for all cost or incentive contracts equal to or greater than \$20M. Using EVM on contract types to which it has not been traditionally applied, e.g., on FFP or T&M contracts; and thus those contracts mostly used at CST and on efforts combining development and services; or on efforts where both completion criteria and performance standards must be met, requires flexibility that many EVM systems were not designed for and typically don't provide, adding a level of complexity to managing program at CST that would be burdensome and difficult to execute. However, The majority of contracts used by the project support operations and maintenance (steady state) activities. These activities include routine functions that are repeated each day, such as help desk support, network support, operations, ad hoc technical support, etc. Those activities that may be considered maintenance are generally small in scope and short in duration. The use of EVMS would not provide significant benefit to the management of these activities, as they also do not meet the minimum threshold requirements for its use. Projects will employ EVMS for all new contracts/tasks when the activity along with the combination of risk, cost and schedule warrant its use.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-31

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
1	American Citizens Services	The objective of the ACS initiative is to provide Consular officers and CA staff with a suite of specialized applications to support the protection and welfare of American citizens abroad. As a result of this initiative, CA is able to provide outstanding service to US citizens worldwide in areas such as registration of Americans living abroad, passport issuance, recording of births, deaths, arrests, and property accountability. This initiative also allows CA to support changes in legislation, congressional mandates, or emergency requests from the stakeholders in the Bureau of Consular Affairs.			
2811	Travel Document Systems Support	The objective of the TDIS-PD initiative is to provide ongoing systems support for domestic passport issuance. As a result of this initiative, CA has been able rapid, secure service in the face			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		of record passport demand - well over 12 million per year. This initiative also allows CA to support changes in legislation, congressional mandates, or emergency requests from the stakeholders in the Bureau of Consular Affairs.			
48611	Administrative and Management Systems Support (AMS)	The objective of the AMS initiative is to provide our internal customers with a set of digital tools to manage resources more efficiently. As a result of this initiative, CST leadership have enhanced visibility into business-line activities to ensure resources are managed for peak performance, increasing utilization of resources in key mission delivery and mission support areas.			
48622	Business Intelligence, Analytics and Reporting	The objective of the BI initiative is to provide CST management and stakeholders with Analytics and Reporting services to increase the efficiency and effectiveness of CA's business processes and mission, as well as the government wide effort to appropriately and effectively share information. As a result of this initiative, CST management and stakeholders have access to high-quality data and analytics in the areas of fraud, risk analysis, watch phrase, and global text search.			
48633	Intranet/Internet Website Development & Support	The objective of this initiative is to maintain and provide technical support for informational and public outreach web sites on the Internet. It also includes			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		supporting internal websites on the DoS OpenNet and ClassNet Intranets, and Intelink (previously known as SIPRNET). As a result of this initiative, travelers have access to high-quality Consular information through sites such as travel.state.gov.			
48644	Visa Systems Support	<p>The objective of the Visa Systems Support initiative is to provide on-going maintenance and support for consular automated systems that support the Visa issuance process both domestically and overseas. This includes but is not limited to immigrant/non-immigrant visas.</p> <p>As a result of this initiative, Consular officers around the world have support from systems that allow them to process over seven million non-immigrant visa applications and nearly 700,000 immigrant visa applications per year. This initiative also allows CA to support changes in legislation, congressional mandates, or emergency requests from the stakeholders in the Bureau of Consular Affairs.</p>			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
1	American Citizens Services							
2811	Travel Document Systems Support							

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
48611	Administrative and Management Systems Support (AMS)							
48622	Business Intelligence, Analytics and Reporting							
48633	Intranet/Internet Website Development & Support							
48644	Visa Systems Support							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
1	Q1		2011-12-31	2011-12-31		91	-244	-268.13%
48622	Q1		2011-12-31	2011-12-31		91	-244	-268.13%
2811	Q1		2011-12-31	2011-12-31		91	-244	-268.13%
48633	Q1		2011-12-31	2011-12-31		91	-244	-268.13%
48611	Q1		2011-12-31	2011-12-31		91	-244	-268.13%
48644	Q1		2011-12-31	2011-12-31		91	-244	-268.13%
48644	Q2		2012-03-31	2012-03-31		90	-153	-170.00%
1	Q2		2012-03-31	2012-03-31		90	-153	-170.00%
48622	Q2		2012-03-31	2012-03-31		90	-153	-170.00%
2811	Q2		2012-03-31	2012-03-31		90	-153	-170.00%
48633	Q3		2012-03-31	2012-03-31		90	-153	-170.00%
48611	Q2		2012-03-31	2012-03-31		90	-153	-170.00%
48611	Q3		2012-06-30	2012-06-30		90	-62	-68.89%
48644	Q3		2012-06-30	2012-06-30		90	-62	-68.89%
1	Q3		2012-06-30	2012-06-30		90	-62	-68.89%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
48622	Q3		2012-06-30	2012-06-30		90	-62	-68.89%
2811	Q3		2012-06-30	2012-06-30		90	-62	-68.89%
48633	Q3		2012-06-30	2012-06-30		90	-62	-68.89%
48611	Q4		2012-09-30	2012-09-30		91	0	0.00%
48644	Q4		2012-09-30	2012-09-30		91	0	0.00%
1	Q4		2012-09-30	2012-09-30		91	0	0.00%
48622	Q4		2012-09-30	2012-09-30		91	0	0.00%
2811	Q4		2012-09-30	2012-09-30		91	0	0.00%
48633	Q4		2012-09-30	2012-09-30		91	0	0.00%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
# of defects per software release	Number	Technology - Efficiency	Over target	10.000000	10.000000	16.000000	10.000000	Monthly
% availability of Passport Modernization system	Percentage	Process and Activities - Cycle Time and Timeliness	Over target	99.000000	99.000000	99.000000	99.000000	Semi-Annual
Percentage of passport applications processed within the targeted timeframe.	Percentage	Process and Activities - Cycle Time and Timeliness	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual
% of Passport Books with photo-digitized capability.	Percentage	Mission and Business Results - Services for Citizens	Over target	80.000000	90.000000	90.000000	90.000000	Semi-Annual
Number of languages supported on electronic visa application forms web site.	Number	Customer Results - Service Coverage	Over target	8.000000	8.000000	8.000000	8.000000	Semi-Annual
Percentage of DV lottery applications submitted electronically.	Percentage	Process and Activities - Productivity	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual
Percentage of fee based consular transactions paid using credit card.	Percentage	Technology - Reliability and Availability	Over target	0.000000	0.000000	15.000000	0.000000	Monthly