

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-07-31
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2012-02-29
Date of Last Exhibit 300A Update: 2012-06-29
Date of Last Revision: 2012-08-23

Agency: 016 - Social Security Administration **Bureau:** 00 - Agency-Wide Activity

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: Financial Accounting System-FACTS

2. Unique Investment Identifier (UII): 016-000002015

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Financial Accounting System (FACTS) is a steady-state investment that provides for the operations and maintenance of the Social Security Administration's (SSA's) accounting system, Social Security Online Accounting & Reporting System (SSOARS). SSOARS is SSA's centralized automated system for recording, classifying, and summarizing information on SSA's financial position and operation. It maintains a variety of financial information including funding data, commitments, obligations, receivables and payables. The objective of SSOARS is to maintain the financial records of SSA, including the general ledger, subsidiary ledgers for control and reporting, obligation, advance and receivable subsidiary records, fund availability status, audit trail of accounting activity and the payment and collection records for cash reconciliation. SSOARS combines all of SSA's administrative accounting, payment and collection activities, detects and prevents improper payments, and improves debt management. SSOARS produces accurate and timely financial reports for management decision-making. SSOARS is fully integrated with several internal and external administrative systems, such as SSA's Streamlined Acquisition System (SSASy), SSA's E-Gov Travel Service, E2 Solutions, and the Third Party Payment System (TPPS). By interfacing feeder systems with SSOARS, transactions are validated against accounting reference files to reduce errors, eliminate time to research, and correct erroneous transactions. SSOARS continues to be in substantial compliance with law and regulations based on the agency's

assessment and review, such as the Federal Financial Management Improvement Act (FFMIA). SSOARS did not have any identified material internal control weaknesses in fiscal year (FY) 2011 and received clean audit reports from independent auditors for the last eight years. Implementing SSOARS has allowed SSA to achieve labor efficiencies and cost savings by utilizing modern, state-of-the-art software. SSOARS uses the latest Oracle application software to take advantage of Oracle functionality to ensure data integrity and security. In addition, SSOARS also uses the latest version of Oracle's database software and technology to streamline processing and achieve operational efficiencies.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

FACTS, which provides for the operations and maintenance of SSOARS, continues to meet the needs of SSA and system users by staying current with the latest federal financial software, using web-based technologies, and ensuring that incremental system modifications are directly tied to supporting the agency's mission. SSA continually monitors and analyzes the need for new patches for application software to keep the system current. Any new patches chosen to apply are tested, validated, and verified to ensure that they will be technically compatible with the production system. New system patches provide improved system functionality and performance, and allow for continued compliance with Federal accounting system standards. At this time, SSA has not identified any performance gaps or user satisfaction issues for SSOARS. Issues are addressed immediately when raised at regularly held user group meetings, or through the SSOARS Help Desk where problems are tracked with supporting details and recommended solutions. A shortage in funds for FACTS would seriously jeopardize SSA's ability to maintain the SSOARS application and meet the financial management needs of the agency. SSOARS is the agency's accounting System of Record and is classified as a critical system. SSOARS hosts approximately 25 incoming and outgoing interfaces, as well as a number of web services applications that must be available seven days per week. SSOARS Subject Matter Experts, along with contractor support, implement system fixes via software patches. Ongoing software maintenance is vital to ensuring continued system operations, stability and up time. A lack of funding for SSOARS would also jeopardize SSA's ability to address any system performance improvements that may become necessary to remain in compliance with OMB-mandated financial systems requirements, to maintain a clean audit opinion, and to respond to a variety of annual audits and reviews by both internal and external oversight organizations.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

FY2011 accomplishments reflect FACTS efforts to keep SSOARS up-to-date with current technology to promote labor efficiency, data integrity, and system performance. The most significant accomplishment was maintaining current operational efficiencies through Oracle maintenance and support, which includes production, software upgrade and enhancement, and Application Database Administration support. FACTS also completed an interface for Intra-Governmental Payment and Collection (IPAC) and implemented the Internet Payment Platform, an Internet-based payment information service that allows Federal agencies and associated suppliers to get transactional information relating to Federal payments. Finally,

SSA completed an enhancement to the Accounts Receivable module that provides a standard web service for most of SSOARS business functions.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

Similar to the accomplishments in FY2011, planned accomplishments in FY2012 and FY2013 reflect FACTS efforts to keep SSOARS up-to-date with current technology. In FY2012, FACTS will maintain current operational efficiencies through Oracle maintenance and support, which includes production, software upgrade and enhancement, and Application Database Administration support. Several other minor enhancements are planned to keep SSOARS operating as efficiently as possible. These include implementing a real-time integration with Miscellaneous Receipts, which will allow SSOARS to process Miscellaneous Receipts transactions in near real-time. Also, a proof of concept will be conducted to determine the possible benefits of implementing Oracle Governance Risk and Compliance (GRC) Manager & Intelligence, which would streamline and automate the maintenance, reporting, and certification of risk and control information for OMB A-123 and other Federal internal control reporting requirements. For FY2013, FACTS will continue to maintain current operational efficiencies through Oracle maintenance and support, which includes production support, software upgrade and enhancement support, and Application Database Administration support. Small, incremental enhancements are planned to keep SSOARS operating as efficiently as possible. These include migrating the aging Portal Technology to Web Center and Active Directory - Oracle Internet Directory; implementing the Government-wide Treasury Account Symbol, which will become the primary means of reporting agency trial balance data to Treasury; and redesigning the Year End Closing process to address shortcomings in the current process.

5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.

2011-09-08

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$30.6	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$15.5	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$46.1	0	0	0
O & M Costs:	\$75.5	\$9.4	\$9.4	\$13.1
O & M Govt. FTEs:	\$28.9	\$1.2	\$1.4	\$1.5
Sub-Total O & M Costs (Including Govt. FTE):	\$104.4	\$10.6	\$10.8	\$14.6
Total Cost (Including Govt. FTE):	\$150.5	\$10.6	\$10.8	\$14.6
Total Govt. FTE costs:	\$28.9	\$1.2	\$1.4	\$1.5
# of FTE rep by costs:	99	10	11	11
Total change from prior year final President's Budget (\$)		\$0.9	\$-3.6	
Total change from prior year final President's Budget (%)		9.10%	-25.10%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

FY11 increased as a result of our participation in the Internet Payment Platform (IPP) pilot at the request of the Department of Treasury. FY12 reduction based on projected Agency IT funding.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	2800	SS001030705	GS35F4357D	4730							
Awarded	2800	0025	SS000940036	2800							
Awarded	2800	SS001060082									
Awarded	2800	SS001060107									
Awarded	2800	SS001060108									
Awarded	2800	SS000930428	GS35F4543G	4730							
Awarded	2800	SS000940036									
Awarded	2800	SS001230234	NNG07DA18B	8000							
Awarded	2800	SS001230219	NNG07DA18B	8000							
Awarded	2800	SS001230219	NNG07DA18B	8000							
Awarded	2800	SS001230394	NNG07DA18B	8000							
Awarded	2800	SS001230234	NNG07DA18B	8000							
Awarded	2800	0028	SS000940036	2800							
Awarded	2800	0027	SS000940036	2800							
Awarded	2800	0029	SS000940036	2800							
Awarded	2800	0031	SS000940036	2800							
Awarded	2800	0030	SS000940036	2800							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's Earned Value Management (EVM) policy and implementation was reviewed by OMB, OIG and others and deemed consistent with the intent of OMB's M-10-27 (and its predecessors) and the ANSI standards, which define a compliant EVM System. SSA performs the vast majority of our work in-house, and thus conducts EVM and program management at the total program level which includes both Government costs and support contracts. The inclusion of Earned Value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. When applicable per policy, Earned Value Management requirements are applied to SSA contractors in

one of two ways. The first is to require the contractor to satisfy requirements in accordance with FAR 52.234. SSA currently has seven contracts that include a modified EVM FAR clause. The second is for the contractor to provide necessary data directly into SSA's in-house EVMS. SSA's in-house, program-level EVMS enables contractor efforts to be easily and separately monitored, yet produces data attributable to the component and sub-component level. Where appropriate, successor contracts to expiring SSA contracts include a modified EVM FAR clause suited to SSA's program level EVMS. Examples include the IT Support Services Contract (ITSSC) replacing the Agency Wide Support Services Contract (AWSSC), and the DCPS contract eventually replacing the Versa and Levy (Iron Data) contracts.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-07-31

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
FACTS01	FY11 FACTS	The Financial Accounting System (FACTS) is a steady-state investment that provides for the operations and maintenance of the Social Security Administration's (SSA's) accounting system, Social Security Online Accounting & Reporting System (SSOARS).			
FACTS0201	FY 12 - Oracle	Ongoing maintenance support.			
FACTS0202	FY12 - Services	Contractor support to implement small, incremental enhancements on as-needed basis.			
FACTS0203	FY12 - SSOARS Maintenance & Support Services	System maintenance and support, which includes production, software upgrade and enhancement, and application database administration support.			
FACTS0204	FY12 - MGMT O/H	Management and overhead workyears are based on the total number of FTEs and Contractors allocated to this program for project-related work.			
FACTS0205	FY12 - non-DCS Support	GS-2210 IT Specialists			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		supporting major IT initiatives that work in various agency-level offices outside the Office of Systems.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
FACTS01	FY11 FACTS							
FACTS0201	FY 12 - Oracle							
FACTS0202	FY12 - Services							
FACTS0203	FY12 - SSOARS Maintenance & Support Services							
FACTS0204	FY12 - MGMT O/H							
FACTS0205	FY12 - non-DCS Support							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
FACTS0202	FY12 - Oracle POC GRC Manager and GRC Intelligence	Proof of concept to determine the feasibility of implementing Oracle's Governance, Risk, and Compliance (GRC) Manager and Intelligence.	2012-05-17	2012-09-30		118	-136	-115.25%
FACTS0202	FY12 L2 - Portal Migration to Web Center and AD-OID Integration: Proof of	Proof of concept to determine the feasibility of integrating	2012-09-30	2012-09-30		61	0	0.00%

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Concept	WebCenter and Active Directory - Oracle Internet Directory (AD-OID).						

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Number of hours which the system was actually available divided by the total number of hours which the system should be available	Percent	Technology - Reliability and Availability	Over target	98.000000	98.000000	100.000000	98.000000	Monthly
Receive an unqualified audit opinion on SSA's financial statements	Auditor Opinion	Customer Results - Service Quality	Over target	1.000000	1.000000	1.000000	1.000000	Semi-Annual
Number of high security incidents and vulnerabilities successfully resolved divided by the total number of high security incidents and vulnerabilities reported	Percent	Process and Activities - Security and Privacy	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual
Number of approved requests for system access completed within a 36-business hour period divided by the total number of system access requests received	Percent	Technology - Efficiency	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual
Maintenance & Support Costs do not exceed the planned budget	Percent	Mission and Business Results - Support Delivery of Services	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual