Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2013-02-28
Investment Auto Submission Date: 2013-03-29
Date of Last Investment Detail Update: 2013-03-25
Date of Last Exhibit 300A Update: 2013-04-30
Date of Last Revision: 2013-04-30

Agency: 024 - Department of Homeland Security **Bureau:** 30 - Citizenship and Immigration Services

1. Name of this Investment: USCIS - Transformation

2. Unique Investment Identifier (UII): 024-000003015

Section B: Investment Detail

1. **Briefly describe the investment's purpose, goals, and current or anticipated benefits (quantitative and/or qualitative). Include the investment's specific contribution to mission delivery or agency management support functions and identify key customers, stakeholders, and other beneficiaries.**

The purpose of this investment is to achieve the following objectives and provide these benefits: ·Improve security by uniquely identifying beneficiaries and petitioners and by implementing automated fraud and risk rules ·Improve customer service by allowing e-filing, reducing cycle times and providing real-time case update information ·Increase ability to share data with partner agencies through the creation of an electronic benefits adjudication system ·Improve system integrity by creating customer accounts enabling a person-centric view of an individual's benefits related data ·Provide a single, worldwide case management system for immigration benefits, with an anticipated user base of 50,000 authorized users ·Improve employee satisfaction by creating an electronic case management system The goal of the Investment is to modernize USCIS by deploying an enterprise-wide investment that will transition the Agency from a fragmented, paper-based operational environment to a paperless, centralized and consolidated environment, utilizing electronic adjudication. The new operational environment will contribute to accomplishing USCIS' mission of enforcing and administering our immigration laws. In addition, the new operational environment will reshape the way we share information by providing digital immigration files for faster information sharing, and integrated, secure access to relevant information internally and with Federal partner agencies. The revised processes also help the Agency to meet customer expectations for on-demand information and immediate real-time electronic service. The investment contributes to USCIS' mission delivery and goals by addressing four major mission initiatives: (1) National Security and Integrity; (2) Customer Service; (3) Operational Efficiency; and (4) Quality. The key customers, stakeholders and beneficiaries of the ELIS Investment are as follows: Enterprise Partners–Department of Homeland Security

Components; USCIS Leadership–Senior leaders from the Agency; USCIS Employees–All individuals working for USCIS; Customers and Advocates–The persons petitioning USCIS for benefits and services and the organizations representing those individuals; Government Oversight–Oversight and review entities, including Congress, the Office of Management and Budget, the Government Accountability Office and the Inspector General's Office; and the Public–IT industry groups, U.S. Citizens, and media outlets.

2. **Briefly describe how the investment contributes to the agency target EA and its role in the Enterprise Roadmap. Include a description of how the investment includes or will achieve programmatic or technical innovation.**

The Transformation Investment (ELIS) is a large scale, full lifecycle investment that is transitioning the Agency from a fragmented, paper-based operational environment to a paperless, centralized and consolidated environment, utilizing electronic adjudication. The investment focuses on four DHS priorities: national security, customer service, operational efficiency, and quality. ELIS also contributes to the USCIS Target Enterprise Architecture (EA) and its role in the Enterprise Roadmap. Transformation's vision to standardize business processes is realized through the redesign of business processes for the requesting, adjudicating and granting of immigration benefits. It spans across all Lines of Business (LOB) in the Target Business Architecture. The USCIS EA defines four Business Segments, namely: Mission Strategy Area, Core Mission Execution Area, Mission Support Area, and Agency Management Area. ELIS establishes common standards for processing immigration benefits for LOB in the Core Mission Execution Areas: Citizenship, Immigrant, Non-Immigrant, and Humanitarian. ELIS also establishes common standards in the Mission Support Areas: Profile Management, Fraud Management, Benefit Fulfillment Management, and Customer Service. ELIS consists of five Services Domains defined in the USCIS Target Service Architecture namely: Customer Services, Business Management, Business Analytical, Digital Asset, and Process Automation Support. The Performance framework mirrors the Target Performance Architecture that defines the measurement areas: USCIS Strategic Outcomes, Customer Service Outcomes, Mission and Business Result Outcomes, Process and Activities Outputs, Technology, Supply Chain, and Human Capital Inputs. ELIS software and hardware are approved products in the DHS TRM. ELIS data models and standards are NIEM compliant. ELIS person-centric approach to accounts and case management will establish and maintain a uniquely identifiable electronic account for each person. ELIS will achieve programmatic innovations in electronic content management, benefit case management for better quality and efficiency in adjudication, and detection of risk and fraud management that integrates a range of partner information sources to adjudicate with actionable risk information.

3. **Provide the investment's currently projected return on investment (ROI) percentage, as documented in the most recent alternatives analysis, and the date that it was established.**

   a. **Current ROI:** -86.250000

   b. **Date of Current ROI:** 2012-08-24

4. **In the section below, indicate if the investment in whole or in part specifically addresses any of the following types of requirements.**

Note: Where "Yes" is indicated, provide a brief description of the requirement and how the investment will meet the requirement. Include citations within descriptions, if available. Provide any URLs associated with each requirement. If citing the Agency Performance Plan, provide the applicable "PRM" code from Performance.gov.

a. **Legislative Mandate:**
Required By: NO
Description:
Associated URLs:

b. **Outstanding Audit Finding or Material Weakness:**
Required By: YES
Description: OIG 11-33 Insider Threat Recommendation #2: Incorporate insider threat risk mitigation strategies into the Transformation effort.
Associated URLs:
http://www.oig.dhs.gov/assets/Mgmt/OIG_11-33_Jan11.pdf

c. **Published Agency Strategic Plan/Annual Performance Plan:**
Required By: YES
Description: The USCIS Strategic Plan outlines the framework, core values and goals, and objectives of USCIS.
Associated URLs:
http://connect.uscis.dhs.gov/org/MGMT/HCT/Documents/USCIS%20Strategic%20Plan.pdf
PRM Codes:
P41-024-019 : Strengthen and effectively administer the immigration system.

d. **Presidential Direction:**
Required By: NO
Description:
Associated URLs:

e. **Other Requirement:**
Required By: NO
Description:
Associated URLs:

5. **Provide a description of the investment's progress and accomplishments in the PY, including the investment's overall performance against baseline goals, targets, significant milestones, or performance measures, and any corrective actions taken to address performance deficiencies.**

Progress and Accomplishments: Launched the foundational release of USCIS ELIS on May 22, 2012. - Individuals can establish an account and apply online to extend or change their nonimmigrant status (the Form I-539) for certain visa types. - USCIS Officers can adjudicate online filings from locations across the country. Maintained a system availability percentage of 99.736% Established an Executive Steering Committee (ESC) to provide

effective oversight and guidance to Transformation Transitioned from waterfall software development to modular, agile software development Segmented the project delivery activities into rapid release cycles in conformance with the OMB “25 Point Plan” Trained approximately 1000 users Corrective Actions: Slowed down development during the transition to agile to adequately learn agile processes and document lessons learned.

6. **Describe the investment’s objectives for the CY, BY, and budget out years, if available, including specific accomplishments to achieve, realize, or continue to achieve the benefits cited in question B.1. above.**
In FY 2013, the Office of Transformation Coordination (OTC) will deliver a Transformation Investment release every sixteen weeks utilizing agile development. With each agile release, we intend to provide additional capabilities to e-file and adjudicate applications. In FY 2014, the Office of Transformation Coordination (OTC) will deliver a Transformation Investment release every sixteen weeks utilizing agile development. With each agile release, we intend to provide additional capabilities to e-file and adjudicate applications.

7. **If this investment will result in the elimination or the reduction of another investment(s), please complete the following:**

Table I.B.1 Affected Investment Information

Investment UII	Status
NONE	

8. **Does this investment include the following?**

a. **A shared service (intra-or inter-agency—current and/or planned):** YES

b. **A data center (current and/or planned):** YES

c. **PIV-enabled systems (per HSPD-12) (all systems currently PIV-enabled):** YES

d. **Cloud computing (current and/or planned):** YES

e. **APIs (application programming interfaces) consistent with the Digital Government Strategy:** NO

9. **Provide any URLs related to the investment and indicate how they are related. Identify each URL’s relationship to the investment (if applicable).**

Table I.B.2 URL's Related to the Investment

URL	A. Provides publicly accessible datasets produced by this investment.	B. Provides a publicly accessible API to provide access to data from this investment.	C. Links to social media about this investment.	D. Provides general information about this investment.	E. Provides general information about the business process or program served by this investment but not the investment itself.

Table I.B.2 URL's Related to the Investment

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http://www.uscis.gov/portal/site/uscis/					X
http://www.uscis.gov/portal/site/uscis/menuitem.eb1d4c2a3e5b9ac89243c6a7543f6d1a/?vgnextoid=e0779e415d116310vgnvcm100000082ca60arcrd&vgnextchannel=e0779e415d116310vgnvcm100000082ca60arcrd				X	
http://blog.uscis.gov/2012/07/uscis-electronic-immigration-systems.html			X	X	
http://www.itdashboard.gov/investment?buscid=319	X			X	

10. **Provide the date of the investment or IPT charter establishing the required IPT.**
2009-02-06

Section C: Life Cycle Costs

1. **Provide the cost summary for the investment.**

Table I.C.1 Life Cycle Costs

	PY-1 & Prior	PY 2012	CY 2013	BY 2014
Planning Costs:	$106.7	$17.1	$15.0	$15.3
DME (Excluding Planning) Costs:	$432.8	$151.3	$90.7	$112.6
DME (Including Planning) Govt. FTEs:	$30.0	$11.1	$15.8	$16.1
Sub-Total DME (Including Govt. FTE):	$569.5	$179.5	$121.5	0
O & M Costs:	$0.0	$10.4	$33.7	$32.3
O & M Govt. FTEs:	$0.0	$0.7	$0.0	$0.0
Sub-Total O & M Costs (Including Govt. FTE):	0	$11.1	$33.7	0
Total Cost (Including Govt. FTE):	$569.5	$190.6	$155.2	0
Total Govt. FTE costs:	$30.0	$11.8	$15.8	0
# of FTE rep by costs:	343	94	94	94
Total change from prior year final President's Budget ($)		$-167.8		
Total change from prior year final President's Budget (%)		-47.00%	-45.00%	

2.

a. **In which year did or will this investment begin? (specify year - e.g., PY-1= 2011)**
2006

b. **In which year will this investment reach the end of its estimated useful life? (specify year - e.g., BY+5 = 2019)**
2032

3. **If the funding levels have changed from the FY 2013 President's Budget request for PY or CY, briefly explain those changes:**

Section D: Acquisition/Contract Strategy (All Capital Assets)

1. **Provide all prime contracts (or task orders) for awarded or open solicitations for the investment.**

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Modular Approaches/ Contracting	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	EVM Required
Awarded	7001	HSHQDC09J00001	N	HSHQDC06D00019	7001		Y
Awarded	7001	HSHQDC10F00153	N	GS10F8901H	4730		N
Awarded	7003	HSSCCG10J00329	N	HSHQDC06D00026	7001	HSSCCG-10-R-00010	N
Awarded	7003	HSSCCG10J00208	N	HSHQDC07D00026	7001	HSSCCG10R00020	N
Awarded	7001	HSSCCG12J00059	N	HSHQDC06D00025	7001	HSSCCG-12-R-00007	N

2. **If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**
Paragraph (a) (1) (iii) of Subsection 3034.201, titled “Policy” of the Department of Homeland Security Manual permits the responsible program managers to use their judgment based on risk to implement EVM on contracts valued at less than $20 million. NETSTAR and MA Federal are FFP contracts; EVM is not required for FFP contracts. The SAIC services provided to the Transformation Investment are priced as a FFP CLIN. NTT Data Federal Services, Inc. is an IV&V services contract, for which EVM is not appropriate. However, performance by those contractors is monitored constantly via on-site observation, project and investment deliverables, and daily project and investment support. EVM is a contract requirement for all other contracts.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2013-02-28

Section B: Project Plan and Execution Data

Table II.B.1 Projects

Project ID	Project Name	Objectives/Expected Outcomes	Project Start Date	Project Completion Date	Project Lifecycle Cost ($M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance ($M)	Cost Variance (%)
1	USCIS ELIS	Deploy Additional Benefits Types.	2010-07-01	2018-09-30	$1,318.2	0	0	$111.5	14.27%

Key Deliverables

Project ID	Activity Name	Activity Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
NONE								

Section C: Operational Data

Table II.C.1A/B Performance Metrics and Actuals

Metric ID	Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	2012 Target	2013 Target	Measurement Condition	Reporting Frequency	Agency Strategic Goals / Agency Objective
NONE								