

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-04-30  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2012-02-24  
**Date of Last Exhibit 300A Update:** 2012-08-22  
**Date of Last Revision:** 2012-08-22

**Agency:** 024 - Department of Homeland Security      **Bureau:** 30 - Citizenship and Immigration Services

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** USCIS - Integrated Document Production (IDP)

**2. Unique Investment Identifier (UII):** 024-000003030

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

IDP Division mission is to personalize a variety of secure identification documents for immigrants and certain non-immigrants in support of its mission to provide timely and accurate evidence of immigration benefits. These documents provide evidence of their immigration status, eligibility to live in or enter the U.S., and as authorization to work in the U.S. These documents are mission critical to Department of Homeland Security (DHS). IDP Division is a leader in the production of secure identity cards using optical memory card technology with embedded biometrics. IDP Division manages a card personalization process that unites seamless, continuous, automated and integrated card personalization technology to produce highly secure DHS issued cards. The current system is a steady state system that reflects a mixed level investment and that provides USCIS with the capabilities to personalize cards foreigners and others need to document their legal status and to demonstrate that they are eligible for a wide range of immigration and other benefits. Over 47 million cards have been produced since the program became operational in FY98. Documents currently produced by IDP include the following: the Permanent Resident Card (PRC), Form I-551, (?Green Card?), the Employment Authorization Document (EAD), Form I-766, Travel Document Booklets including the Re-entry Permit, (Form I-327) and the Refugee Travel Document Form I-571, and the TSA Transportation Worker Identification Credential card. Card production services are acquired by USCIS through the effective use of a public?private

partnership where IDP provides management oversight and a private sector contractor provides the necessary resources to deliver card and travel document production services. IDP investment supports the USCIS priorities of eliminating backlogs, improving customer service, enhancing national security and increasing productivity and efficiency. Specifically, IDP allows is required to :produce quality, tamper-resistant, machine-readable, biometrically enabled documents using the latest state-of-the art printing and identity management technologies; enable the U.S. to keep ahead of the threat of document counterfeiting, fraud and tampering by periodically upgrading and enhancing the security features of our documents; foster electronic verification by creating documents with security features and technological media capable of being verified electronically. See No. 30/ Overview for more info.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

As part of the United States Citizenship and Immigration Services (USCIS) statutory mission, USCIS produces a variety of secure identification documents used to provide evidence of eligibility for immigration benefits to its customers. The IDP is responsible for USCIS' secure identity document production operations. USCIS' statutory mission to produce cards is described in the Immigration and Naturalization Act (INA) (at 8 USC 1304(d), codified at section 264) which requires that every alien who has been granted lawful permanent residence status be issued a certificate of alien registration or an alien registration receipt card in such form and manner and at such times as shall be prescribed under the regulations issued by the Attorney General. Federal courts have held that this provision imposes upon DHS a nondiscretionary duty to issue such documentation in a timely manner. As such, document production management has been a critical component of the USCIS mission because timely evidence of benefits is statutorily mandated. If IDP is not fully funded the USCIS will not meet its mission.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

Major FY 2011 accomplishments included: - December, 2010 launch of the capability to send initial mailings of Permanent Resident Cards (PRCs) and Employment Authorization Documents (EADs) using Secure Mail Initiative (SMI) protocols; - Launch of an EAD that is travel-enabled. The SMI replaced the practice of mailing secure documents with first class mail with a new system that allows USCIS and its customers to track secure identity documents by telephone and internet. Gaps closed by this include enhanced security and accountability, speedier delivery times and improved customer service; - February 2011, USCIS began issuing employment and travel authorization on a single card for certain applicants filing an Application to Register Permanent Residence or Adjust Status. This new card represents a significant improvement from the practice of issuing paper Advance Parole documents.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

Planned accomplishments for FY 12: - October 2011, launch of a redesigned Employment Authorization Document (EAD) with enhanced forensic security features included on the card. This is a “next-generation” step anticipated to follow the successful launch in FY 11 of the travel enabled EAD that leverages the CPSTR investment. CPSTR Release 1.1 will need to go-live to accomplish this goal; - Transition from PRC card stock with Monza ID RFID chip inlays to the new PRC card stock with MONza 4D RFID chip inlays; planned transition expected to occur in February 2012; closely coordinate with CBP and ICE/Forensic Document Laboratory (FDL). - Maintain and continue personalizing all other documents while transitioning to the new EAD pursuant to our production and quality standards; - Re-compete the Optical Card Consumables contract in FY 12; - FY2013, re-compete both the CPSTR and COPS contracts and; - Maintain steady state production of all secure documents.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-09-01

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$12.2	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$12.2	0	0	0
O & M Costs:	\$320.9	\$45.6	\$46.0	\$46.9
O & M Govt. FTEs:	\$14.8	\$2.6	\$2.6	\$2.7
Sub-Total O & M Costs (Including Govt. FTE):	\$335.7	\$48.2	\$48.6	\$49.6
Total Cost (Including Govt. FTE):	\$347.9	\$48.2	\$48.6	\$49.6
Total Govt. FTE costs:	\$14.8	\$2.6	\$2.6	\$2.7
# of FTE rep by costs:	105	13	13	13
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

N/A.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7003	<a href="#">HSSCCG10J0083</a>	HSHQDC06D00024	7001							
Awarded	7003	<a href="#">HSSCCG07D0003</a>	HSSCCG07D0003	7003							
Awarded	7003	<a href="#">HSSCCG09D0004</a>	HSSCCG09D0004	7003							
Awarded	7003	<a href="#">HSSCCG08D0004</a>	HSSCCG08D0004	7003							
Awarded	7003	Manifest Agreement (21073)									

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**  
 Earned value is required on the DME portion of the technology refresh contract, HSSCCG-08-D-00004, Section IV Contract Attachments, paragraph 7.1 of Statement of Works and USCIS Specifications. Monitoring is done via monthly EVM meetings and contractor provided monthly performance and cost reporting. Additionally, EVM data is reported in nPRS. Although we are purchasing commercial equipment, EVM is done on the developmental work required to configure the equipment to USCIS IT infrastructure.

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-04-30

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
101	Redesigned EAD card	Create new EAD card design using laser marking print method and more security features to create a more tamper proof non-counterfeitable card.			
201	CPSTR Release 1.1	Develop new software so the CPSTR system is capable of printing the newly redesigned EAD card.			
301	Optical Card Consumables Contract	Provide dye sublimation and laser engraveable PRC card stock with optical memory stripe and RFID chip inlay and related security hologram stamps, and new card design support services.			
302	Non-Optical Card Consumables Contract	Provide dye sublimation and laser engraveable Non-Optical memory card stock and security hologram stamps, holographic overlays and protective clear overlays.			
401	Card Personalization System Technology Refreshment (CPSTR)	This system replaced obsolete equipment and technology that had been utilized for 10 years without upgrade. It includes a			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		flexible system architecture, state-of-the-art equipment, system integration, related software, warranty, training, maintenance support services and system related consumables (excluding card stock and related holograms or laminates). It provides a secure identification card personalization system suitable to personalize secure United States Citizenship and Immigration Services (USCIS) cards in a manner that assures efficiency and maximizes security. This system can print identification cards using laser marking, dye-sublimation, and reverse transfer printing methods.			
501	Card Operations Services	Provide operations and maintenance for card and travel booklet production.			
601	Second Full Service Production Facility (SFSPF)	Provide secure identity document personalization services to support the mission of providing secure, tamper-proof identification documents as evidence of a benefit received under US immigration laws.			
701	Secure Mail Initiative	It uses United States Postal Service (USPS) Priority Mail with Delivery Confirmation to enhance accountability and customer service in the delivery of secure immigration documents to customers. USPS is the primary mail carrier to immigration documents and ensures tracking information is available to customers and documents are delivered in a secure timely			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		manner.			
801	Travel Document Production System	These are documents that allows permanent resident to apply for admission to the U.S. upon returning from abroad (Re-entry Permit) and documents issued to a person who has been granted refugee or asylum status.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
101	Redesigned EAD card							
201	CPSTR Release 1.1							
301	Optical Card Consumables Contract							
302	Non-Optical Card Consumables Contract							
401	Card Personalization System Technology Refreshment (CPSTR)							
501	Card Operations Services							
601	Second Full Service Production Facility (SFSPF)							
701	Secure Mail Initiative							
801	Travel Document Production System							

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
201	CPSTR Release 1.1	Develop new software so the CPSTR system is capable of printing the newly redesigned EAD card.	2011-10-24	2011-11-28	2011-10-24	252	0	0.00%
101	Redesigned EAD card	Create new EAD card design using laser marking print method and more security features to create a more tamper proof non-counterfeitable card.	2012-02-28	2011-10-24	2011-10-24	1,054	127	12.05%
301	Optical Card Consumables Contract	USCIS has a requirement to obtain specially designed dye sublimation and laser engraveable optical card (with or without RFID chip inlays) consumables materials including card stock, holograms, and laminates necessary for production of secure identification cards required to produce the Permanent Resident Cards (PRCs). The PRC card consumables contain a number of very specific custom-designed visual and structure security features, more specifically, colors and multi-layered security features imbedded in the card structure, which make the card	2012-02-29	2012-02-29		1,826	-184	-10.08%

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		<p>counterfeit resistant. This FP/IDIQ contract allows expeditious delivery of optical PRC card stock and related consumables in accordance with the Statement of Work and technical specifications, and the delivery schedule and requirements included in the delivery orders. In addition, the Contractor is required to maintain a process for responding to government corrective action requests arising from problems in faulty card production issues originating with the contractor. The process is designed to foster quick determination of the origination of the problem and equally quick determination and implementation of a solution, and if necessary, provide replacements for faulty card consumables as part of corrective action/resolution process. The contractor performance rating is primarily based on their ability to deliver quality products on time and timely</p>						

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		resolution of all issues reported through the corrective action process.						
401	Card Personalization System Technology Refreshment (CPSTR)	The system must be capable of personalizing at least 5.7 million cards Optical Memory Cards with RFID chips per year, and 1.9 million Non-optical cards per year. The Government is assuming a system lifecycle of at least eight years. The system is designed to provide easy access to components requiring routine or frequent maintenance or adjustment. The Contractor will maintain the system, and has a requirement that components be capable of being repaired or replaced such that no component failure would lead to a shutdown lasting longer than 48 hours.	2013-01-06	2013-01-06		1,825	0	0.00%
501	Card Operations Services	The IDP Division produces secure document products that are mission critical to Department of Homeland Security (DHS). The contractor provides the labor to perform inventory	2013-07-05	2013-07-05		1,095	0	0.00%

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		control, production staging, card and/or document personalization, quality control, shipping, and destruction of excess materials incident to the production process. The vendor maintains 100% accountability for all consumables from their receipt on site throughout the personalization process and completes audit traceability from receipt of electronic orders to posting of results in USCIS information systems. The Contractor must produce all Optical and Non-Optical cards within 72 hours of receiving the card orders. All Transportation Worker Identification Credentials (TWIC) and Travel Document booklets must be produced within 48 hours of receiving the order. In addition to card production, the Contractor performs program management, transition support, operations in support of installation and testing, system						

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		migration support, and system up-grade support.						
302	Non-Optical Card Consumables Contract	<p>USCIS has a requirement to obtain specially designed non-optical dye sublimation and laser engraveable card consumables materials including card stock, holograms, and laminates necessary for production of secure identification cards required to produce the Employment Authorization Documents (EADs). The EAD card consumables contain a number of very specific custom-designed visual and structure security features, more specifically, colors and multi-layered security features imbedded in the card structure, which make the card counterfeit resistant. This FP/IDIQ contract allows expeditious delivery of non-optical EAD card stock and related consumables in accordance with the Statement of Work and technical specifications, and the</p>	2014-04-09	2014-04-08		1,825	1	0.05%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		<p>delivery schedule and requirements included in the delivery orders.</p> <p>In addition, the Contractor is required to maintain a process for responding to government corrective action requests arising from problems in faulty card production issues originating with the contractor. The process is designed to foster quick determination of the origination of the problem and equally quick determination and implementation of a solution, and if necessary, provide replacements for faulty card consumables as part of corrective action/resolution process. The contractor performance rating is primarily based on their ability to deliver quality products on time and timely resolution of all issues reported through the corrective action process.</p>						
601	Second Full Service Production Facility (SFSPF)	Provide secure identity document personalization services to support the mission of	2014-11-30	2014-11-30		1,019	0	0.00%

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		providing secure, tamper proof identification documents as evidence of a benefit received under US immigration laws.						
801	Travel Document Production System	These documents that allows permanent residents to apply for admission to the U. S. upon returning from abroad (Re-entry Permit) and documents issued to a person who has been granted refugee or asylum status.	2015-10-02	2012-09-30		4,018	1,097	27.30%
701	Secure Mail Initiative (SMI)	Uses United States Postal Service (USPS) Priority Mail with Delivery Confirmation to enhance accountability and customer service in the delivery of secure immigration documents to customers. USPS is the primary mail carrier to immigration documents and ensures tracking information is available to customers and documents are delivered in a secure timely manner.	2015-12-08	2012-09-30		1,827	1,164	63.71%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Average Turnaround Time EAD Card	Hours	Customer Results - Timeliness and Responsiveness	Under target	72.000000	72.000000	72.000000	72.000000	Monthly
Average Turnaround Time PRC Card	Hours	Mission and Business Results - Services for Citizens	Under target	72.000000	72.000000	72.000000	72.000000	Monthly
No. of mailable travel doc. books	Number	Process and Activities - Productivity	Under target	240000.000000	240000.000000	0.089000	240000.000000	Monthly
Non Optical Production (Systemwide)	Number	Process and Activities - Productivity	Under target	2100000.000000	2100000.000000	1.046000	2100000.000000	Monthly
Optical Production	Number	Process and Activities - Productivity	Under target	5900000.000000	5900000.000000	1.914000	5900000.000000	Monthly
No. of mailable EAD/PRC Cards	Number	Technology - Quality Assurance	Under target	3000000.000000	3000000.000000	2.961000	3500000.000000	Monthly