

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2011-09-16  
**Date of Last Change to Activities:** 2012-08-31  
**Investment Auto Submission Date:** 2012-02-28  
**Date of Last Investment Detail Update:** 2012-02-28  
**Date of Last Exhibit 300A Update:** 2012-08-31  
**Date of Last Revision:** 2012-08-31

**Agency:** 028 - Small Business Administration      **Bureau:** 00 - Agency-Wide Activity

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** GCBD: GCBD Consolidated IT Investments

**2. Unique Investment Identifier (UII):** 028-000003005

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

This investment is the culmination of HUBZone, Mentor-Protégé, and Business Development programs into a single portal; which provides a one stop shop for small disadvantaged businesses (8a) and for businesses that contribute to the economic development of historically underutilized business zones (HUBZone). It will reduce fraud, waste, and abuse, support additional business processes, and address the three new Mentor-Protégé initiatives authorized through the Jobs Act. The beneficiaries/stakeholders include small businesses, SBA personnel (Headquartered and Field), Processing Centers, OCIO, Office of Hearing and Appeals, Size Standards staff and Office of General Counsel. The new system will be called -OneTrack CMS (Certification & Management System).

- 2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

OneTrack CMS (Certification & Management System) addresses and resolves deficiencies identified by the Government Accountability Office (GAO) and supports the Jobs Act of 2010. Currently there is duplication of data, and functionality between the HUBZone Certification and Tracking System (HCTS) and the Business Development Management Information

System (BDMIS). Both systems have developed manual processes and an overlap of applications to address required functionality which does not exist in the baseline applications. Additionally, although firm servicing may impact both program areas, neither system has the capability to communicate or share that information in a collaborative environment. More importantly, funding is needed to ensure that fraud, waste, and abuse requirements are being addressed as well as functionality required in supporting new mentor-protégé services to be offered. Funding will also promote a more efficient system and customer service. The current individual applications do not protect these programs from fraud, waste and abuse and no longer fully support the program needs. The HUBZone and 8(a) systems require restructuring and scalability to address data integrity issues and the automation of manual processes as well as changes in the business processes and policy changes.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

Functional and technical requirements were identified as well as revisiting the functionality of HCTS and BDMIS. Responses were received based on a Request for Information (RFI) published to gain some insight on the feasibility as well as an estimated cost and level of effort. Completed activities include: alternative analysis, acquisition strategy, and development of a business case for the new GCBD informational system have been completed.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

Establish an Integrated Project Team (IPT) to include SME's. Develop USE Cases that defines 8(a), HUBZone and all Mentor-Protégé management processes. Perform Data analysis on existing systems (HCTS, BDMIS, e8a, Terminations, ExtraView) and develop a migration strategy. Develop High-Level and business requirements for 8a, HUBZone and all Mentor-Protégé programs. Develop business processes for 8(a), HUBZone, and three (3) Mentor-Protégé initiatives. Develop and submit a Statement of Work (SOW). Contract award and project kickoff. Design, develop, test, configure, create a centralized database, migrate data, and implement the GCBD Informational System. Comply with FISMA, HSPD-12, and agency's IT governance requirements.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-06-06

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:		\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:		\$0.0	\$2.8	\$0.0
DME (Including Planning) Govt. FTEs:		\$0.0	\$0.1	\$0.0
Sub-Total DME (Including Govt. FTE):	0	0	\$2.9	0
O & M Costs:		\$0.0	\$0.6	\$0.6
O & M Govt. FTEs:		\$0.0	\$0.1	\$0.1
Sub-Total O & M Costs (Including Govt. FTE):	0	0	\$0.7	\$0.7
Total Cost (Including Govt. FTE):	0	0	\$3.6	\$0.7
Total Govt. FTE costs:	0	0	\$0.2	\$0.1
# of FTE rep by costs:		0	2	2
Total change from prior year final President's Budget (\$)		\$0.0	\$2.4	
Total change from prior year final President's Budget (%)			191.30%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7300	<a href="#">SBAHQ-10-D-0011</a>	Yes	7300							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-08-31

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
29471	PROJ: OneTrack CMS	The SBA Office of Government Contracting and Business Development (GCBD) has initiated a plan to improve, streamline, and automate the processes of the SBA HUBZone (HZ) and Business Development (BD) programs in support of its goals to enhance program delivery and oversight; expand and improve data collection; enhance workforce productivity; reduce fraud, waste, and abuse; and, implement and oversee three new mentor protégé initiatives. Both HZ and BD information systems are operational but not currently functioning as required. The current systems do not effectively support program management and oversight or initiatives to eliminate fraud, waste, and abuse. Consequently, these systems no longer fully support the program needs. The main deficiencies in the systems			

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		include: • Extensive Data Integrity Issues • Sub-optimal customer experience • 508 Compliancy • Duplication of current functionality • Disparate systems and manual processes used to compensate for missing functionality • Firm Verification and Monitoring • Reporting • Limited access to a proprietary system (BDMIS).			
36157	PROJ: CASE	The CASE or Common Application Service Environment is a project to establish a scalable Microsoft platform for use by SBA. The current environment will leverage virtual technology and support .NET framework, SharePoint, Dynamics and SQL. SBA OCIO will work with the Program Areas to determine the system requirements and architect a solution to meet these needs.			

**Activity Summary**

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
29471	PROJ: OneTrack CMS							
36157	PROJ: CASE							

**Key Deliverables**

Project Name	Activity Name	Description	Planned Completion	Projected	Actual Completion	Duration	Schedule Variance	Schedule Variance
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Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
			Date	Completion Date	Date	(in days)	(in days )	(%)
36157	PROJ: CASE - Initiation	Initiation	2011-08-15		2011-08-15	20	0	0.00%
36157	PROJ: CASE - Planning	Planning	2011-10-28		2011-10-28	71	0	0.00%
36157	PROJ: CASE - Procurement\Design	Design	2012-05-07	2012-09-27		263	-143	-54.37%
29471	PROJ: OneTrack CMS - Requirements (Software, Infrastructure and Data Migration)	Requirements (Software, Infrastructure and Data Migration)	2012-07-31		2012-07-31	172	0	0.00%
29471	PROJ: OneTrack CMS - Transition System to SBA CASE Environment and Reporting	Transition System to SBA CASE Environment and Reporting	2012-09-13	2012-07-09		22	13	59.09%
29471	PROJ: OneTrack CMS - Software Development	Software Development	2013-03-28	2012-07-10		388	209	53.87%
29471	PROJ: OneTrack CMS - Project Documentation and On-going Status	Project Documentation and On-going Status	2013-06-06	2013-03-14		517	84	16.25%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
System Uptime for legacy systems, BDMIS and HCTS for all users	Percentage	Technology - Reliability and Availability	Over target	99.990000	99.999000	99.990000	99.999000	Monthly
Processing Applications within ninety days of being accepted for processing 8(a) and HUBZone applications.	Days	Customer Results - Timeliness and Responsiveness	Under target	90.000000	90.000000	105.000000	90.000000	Quarterly
Reduce the number of help desk inquiries	# of Help Desk inquiries per day	Process and Activities - Quality	Under target	194.000000	170.000000	185.000000	170.000000	Quarterly
Reduce the percentage of withdrawals of the number of participating 8(a) firms.	Percentage of Reductions	Technology - Quality Assurance	Over target	10.000000	10.000000	7.000000	10.000000	Semi-Annual
Increase the percentage of net number of certified 8(a) and HZ firms.	Percentage	Mission and Business Results - Services for Citizens	Over target	5.000000	5.000000	5.000000	5.000000	Semi-Annual